

LAW OFFICES OF
KENYON & KENYON RECEIVED

ONE BROADWAY

NEW YORK, NY 10004-1050

TEL. (212) 425-7200

FAX. (212) 425-5288

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SCHILLERSTRASSE 19-25

60313 FRANKFURT AM MAIN

FEDERAL REPUBLIC OF GERMANY

TEL. (49) (69) 97 58 05 0

FAX. (49) (69) 97 58 05 99

WWW.KENYON.COM

WASHINGTON, D.C. OFFICE
1400 K STREET, N.W., SUITE 700
WASHINGTON, D.C. 20005-1257
TEL. (202) 220-4200
FAX. (202) 220-4201

SILICON VALLEY OFFICE
RIVERPARK TOWERS, SUITE 600
333 W. SAN CARLOS ST.
SAN JOSE, CA 95110-2731
TEL. (408) 975-7500
FAX. (408) 975-7501

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January 28, 2000

BY HAND

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Ms. Mary Louise McAskill



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Re: U.S. Patent Application Serial No. 08/976,159

Filed: November 21, 1997

Title: **ENHANCED MATCHING APPARATUS AND
METHOD FOR POST-TRADE PROCESSING**

AND SETTLEMENT OF SECURITIES TRANSACTIONS

Inventor: BRANDER et al.

Batch: F68

Atty's Docket No. 2656/2

Dear Sir:

Applicants request that issuance of a patent on the above-referenced patent application be expedited because of cross-licensing issues.

The issue fee transmittal and the formal drawings are attached.

Should any additional information be required to process this request, the Patent Office is requested to contact the undersigned Attorney for Applicant.

Respectfully submitted,

KENYON & KENYON

By

Michelle M. Carniaux
Attorney for Applicant
Reg. No. 36,098

PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to:

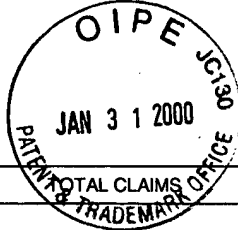
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(Signature)
(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/976,159	11/21/97	034	ROMAIN, J	2765 12/16/99
First Named Applicant: BRANDER, 35 USC 154(b) term ext. = 0 Days.				

TITLE OF INVENTION: ENHANCED MATCHING APPARATUS AND METHOD FOR POST-TRADE PROCESSING AND SETTLEMENT OF SECURITIES TRANSACTIONS

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 2656/2	705-037.000	F68	UTILITY	NO	\$1210.00	03/16/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Kenyon & Kenyon
2. _____
3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Depository Trust Company

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

New York, New York 10041

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

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The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

Michelle M. Carniaux, Reg. No. 36,098

(Date)

28 Janur 2001

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

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PATENT AND TRADEMARK OFFICE

TRANSMITTAL OF FORMAL DRAWINGS

Docket Number:
2656/2

Batch No.
F68

Application Number
08/976,159

Filing Date
November 21, 1997

Examiner
J. Romain

Art Unit
2765

Title
**ENHANCED MATCHING APPARATUS AND
METHOD FOR POST-TRADE PROCESSING AND
SETTLEMENT OF SECURITIES TRANSACTIONS**

Inventor(s)
BRANDER et al.

Assistant Commissioner
for Patents
Washington D.C. 20231



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In response to the Notice of Allowability mailed December 16, 1999, enclosed herewith is a new set of formal drawings (6 sheets) for the above application.

The Commissioner is hereby authorized to charge any required fees to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.

Dated: 28 Jan 2000

By:


Michelle M. Carniaux, Reg. No. 36,098

KENYON & KENYON
One Broadway
New York, N.Y. 10004
(212) 425-7200 (telephone)
(212) 425-5288 (facsimile)

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